



Office of the Registrar
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No./YU/REG/ACA/M.Phil-Ph.D./2017

14.06.2017

NOTIFICATION

Sub: Ph.D. Programme – July 2017 batch.

Yenepoya University invites applications from the eligible candidates for Ph.D. Programme – July 2017 batch. Applications shall be submitted in the prescribed format, which can be downloaded from the University website, complete in all respects together with registration fee of Rs. 1,000/- (non-refundable) so as to reach the undersigned latest by 31.07.2017 Envelope containing the completed application should be superscribed as “Application for Ph.D. Programme – July 2017 batch”.

For further details, the brochure for the Degree of Doctor of Philosophy (Ph.D.) Programme in various Faculties of Health & Allied Health Sciences of Yenepoya University published on the University website : www.yenepoya.edu.in may be referred to.

REGISTRAR



Recognized under Sec 3(A) of the 1956 vide Notification No. F. 9-11/2007-U.3 (A) dated 27 February 2008
Accredited by NAAC with 'A' Grade

Phone & Fax: +91 824 2203943, +91 824 2204668 Extn: 5003
Email: registrar@yenepoya.org, reachus@yenepoya.org
Website :www.yenepoya.edu.in

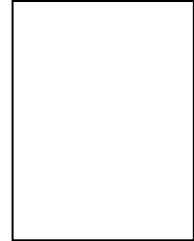
APPLICATION FOR ADMISSION TO Ph.D PROGRAM 2017-2018
(To be filled in by the Applicant in BLOCK letters)

Applications should be routed through the proper channel – Head of department, Head of Institution

[Incomplete application will be rejected]

Last date for submission of the completed

Application with necessary documents 31.07.2017



GENERAL INFORMATION:

- 1) Name :
- 2) Sex : Male/ Female
- 3) Date of Birth : Day____Month____Year____
- 4) Place of Birth Place :
State :
Country :
- 5) Religion : Hindu / Christian / Muslim
Any other (specify)
- 6) Category (include certificate) : General / SC / ST / OBC/ Physically
Handicapped
- 7) Marital Status : Married / Single
- 8) Mother Tongue :
- 9) Nationality (*Country of Origin*) :
- 10) Belong to which state :

Passport No:

Valid Until:

Issued by:

- 11) If Indian Citizen, whether NRI :
- 12) Father's Name :
- Mother's Name :
- Guardian's Name (only if Father is deceased):

Relationship :
13) Profession of Father/ Guardian :

13) Official Address :

Pin :

Fax :

Phone :

Email :

Aadhar Number :

Permanent Address :

Pin :

Fax :

Phone :

Email :

14) Name and Address of
Local Guardian if any :

Pin :

Phone :

Email :

15) Blood Group :

16) Identification mark :

17) References: (At least two person holding responsible positions and not related to the Applicant)

- I) Name :
Address :
Phone :
Email :
Fax :
- II) Name :
Address :
Phone :
Email :
Fax :

I. A. Details of academic qualifications :

	Specialty	Year of Joining	Year of Passing	Institution Studied	University	Percentage Marks Obtained
Graduate Degree						
Postgraduate Degree						
Any other Additional Qualification						

(Please attach attested copies of statement of marks in support of the above details)

B. Name and Address of the Institution

I. Currently employed

II. Last studied

II. DECLARATION BY THE APPLICANT

I wish to apply for admission to the PhD Program of Yenepoya University, Mangalore, and I declare that to the best of my knowledge and belief, the above particulars are true. I agree that the admission is at the sole discretion of the management.

I further agree, if admitted, to conform to the rules and regulations at present in force or that may thereafter be made for the administration of the College and Hostel. I undertake, so long as I am a Student of the University, I will not do anything unworthy of a student or anything that will interfere with the orderly working and discipline.

Place : _____

Date : _____

Applicant's Signature

(Kindly submit the completed application with all the necessary documents as given in the check list)

CHECKLIST OF DOCUMENTS TO BE SUBMITTED

Applicant + Registration fee Rs. 1000/- (Non- Refundable) DD/ Present Check

(DD in favor of Yenepoya University, payable at Mangalore) :

DD Details :

1 recent passport size photo to be pasted + 2 copies attached : Yes/ No

Attested copy of Graduate degree certificate and marks cards : Yes/ No

Attested copy of Postgraduate degree certificate and marks cards : Yes/ No

Research proposal submitted (in triplicate) in prescribed format : Yes/ No

One Soft copy (CD labelled) of the Research proposal : Yes/ No

No objection certificate from present employer : Yes/ No

Application is forwarded by (signature and seal)

Head of Department : Yes/ No

Head of Institution : Yes/ No

Entrance Examination

Date	:	Saturday, August 19, 2017.
Duration	:	3 hours
Type of Questions	:	questions with multiple choice answers.
Number of questions	:	100
Total Marks	:	100
Research Methodology	:	50
Subject specific	:	50

FOR OFFICE USE ONLY

Application completed is received on:

Checked by :

Registration No :

Receipt No :

ACKNOWLEDGEMENT SLIP

(Please bring this slip with you when you are called for interview)

Received the completed application for admission to the PhD Program, at Yenepoya University, for the academic year 2017 - 2018 from Dr./ Mr. / Ms. _____

Allotted application no.

Registrar
Yenepoya University

FORMAT FOR THE WORK PROPOSAL FOR Ph.D PROGRAM

(TO BE SUBMITTED ALONG WITH THE COMPLETED APPLICATION IN TRIPPLICATE WITH A SOFT COPY ON A LABELLED CD)

Name of the Applicant :

Official Address :

Permanent Address :

Subject in which the work is proposed :

Proposed title of the research :

Introduction (Present the literature survey and the significance of the problem in simple terms leading to the Aim and objectives of the proposed work)

Proposed Aim :

Proposed Objectives :

Social relevance of the work :

Methods (Outline the experiments that you will use to test your hypotheses):

Proposed timeline (Summarize your work plan and milestones for completion of your project):

Clearance certificates, Health & Safety Requirements (list the permits **that are required** for the study, e.g. human ethics, Animal Ethics, Biosafety permission, Validation of tools if any, etc.)

NO SAMPLE COLLECTION / DATA COLLECTION CAN BE DONE TILL THE START OF THE PROJECT.

PROPOBABLE REQUIREMENTS:

- Equipment needed
- Consumables needed
- Travel
- Animal Housing, Glasshouse Space needed
- Field Station Facilities
- Budget estimate

Signature of the Student :

Date :

YENEPOYA UNIVERSITY- “CENTRE-Ph.D PROGRAM”
LIST OF GUIDES VACANCIES FOR THE JULY 2017 BATCH OF THE Ph.D PROGRAM

Sl.No.	Name of the Research Guides under YU	Department/ Subject	Ares of expertise	No. Of Vacancies
YENEPOYA MEDICAL COLLEGE				
1.	Dr. Shivarama Bhat Professor	Anatomy	Anthropology / Histology / Cytogenetics	03
2.	Dr. Shyamjith Manikkoth Associate Professor	Pharmacology	Neuro Pharmacology	03
3.	Dr. Ramdas Naik Professor & Head	Pathology	-	04
4.	Dr. Prema Saldanha Professor		Histopathology	04
5.	Dr. Abhay Nirgude Professor & Head	Community Medicine	Epidemiology Health system research Public health	01
6.	Dr. Poonam R. Naik Professor		Community Medicine, Geriatrics Adolescent health	01
7.	Dr. Sunita Saldanha Associate Professor	Hospital Administration	-	03
8.	Dr. Prakash Saldanha Professor & Head	Paediatrics	Paediatrics and Neonatology	02
9.	Dr. M.S. Moosabba Professor& Head,	General Surgery	General Surgery	01
10.	Dr Prabha Adhikari Professor& Head,	General Medicine	Geriatrics Infectious diseases, Clinical Genetics, Diabetes	02
11.	Dr. Manjunath Shenoy M. Professor &Head	Dermatology	Psoriasis, fungal infections	02
12.	Dr. Cynthia Arunachalam Professor & Head	Ophthalmology	Ophthalmology	01
13.	Dr. Bhaskara P. Shelley Professor & Head	Neurology	Neurology, Behavioral and cognate neurology, Dementias	02
14.	Dr. Ravindra Mohan Shenoy Professor & Unit Head	Orthopaedics	Clinical Orthopaedics	01
15.	Dr. Mujeebu Rahiman Professor & I/c Head	Urology	Urology, Transplant, Urolithiasis	04
YENEPOYA DENTAL COLLEGE				
16.	Dr. Sham S. Bhat Professor & Head	Pedodontics	-	01
17.	Dr. Akhter Hussain Professor & Head	Orthodontics	Cleft lip, Genetics, Orthodontics	01
18.	Dr. Shashikanth Hegde Professor & Head	Periodontics	-	02
19.	Dr. Harish Shetty Professor & Head	Conservative & Endodontic dentistry	-	01

20.	Dr. B.T. Nandish Associate Professor	Dental Materials	Dental Polymers, Dental Composites, Dental Ceramics, Dental Metallurgy	01
21.	Dr. Mohammed Hasan Sarfaraz Professor	Prosthodontics	Fixed Prosthodontics & Implantology	02
YENEPOYA NURSING COLLEGE				
22.	Dr Devina Rodrigues Professor & Head	Community Health Nursing	Community Nursing	01
ALLIED HEALTH AND BASIC SCIENCES				
23.	Dr. Arun Bhagwath Professor & Deputy Director	Allied Health & Basic Sciences	Microbiology	01
24.	Dr. Rekha P.D. Professor & Deputy Director		-	01
25.	Dr. Keshav Prasad Professor		Genomics, Proteomics, Metabolomics	01
26.	Dr. Shyama Prasad Rao Assistant Professor		Bioinformatics	02
27.	Dr. Yadhodhar P. Bhandary Assistant Professor		Lung fibrosis	01
28.	Dr. Sudheer Shenoy Assistant Professor		Stem cell & Regenerative.Medicine	01
29.	Dr. Bipasha Bose Assistant Professor		Regenerative stem cells, Cancer stem cells.	01
30.	Dr. K. Sudhakarprasad Assistant Professor		Nanomaterials , Electrolysis Photocatalysis, Biomedical biotech.	03
31.	Dr. Renjith Johnson Assistant Professor		Polymer Science Nanoscience	01
32.	Dr. Prashanth Modi Assistant Professor		Proteomics, Metabolomics, Neurodegenerative disorders	02
33.	Dr. Sneha Pinto, DST Inspire Faculty & Assistant Professor		Immunology Proteomics	01
34.	Dr. Yashwanth Subbannayya Faculty Scientist		Metabolomics Cancer	01
35.	Dr. Suparna Latha Assistant Professor		Molecular Biology Gene editing through ASOS, Molecular pathways of p53	02
36.	Dr. Ashwini Prabhu Assistant Professor		Cancer therapeutics	02

**REGULATIONS GOVERNING THE DEGREE OF
DOCTOR OF PHILOSOPHY (Ph.D) PROGRAM OF
YENEPOYA UNIVERSITY**

**(Amended as per the Notification from UGC, MHRD, New Delhi, dated 5th
May 2016)**

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PREAMBLE

The PhD program was initiated in Yenepoya University in 2008 adapting the UGC regulations. In lieu of the UGC Regulations, 2016, which specifies the Minimum Standards and Procedure for the award of PhD degree the regulations governing the PhD program at Yenepoya University have been amended. All the important features of the UGC Regulations, 2016, have been incorporated into this regulation.

01. NOMENCLATURE OF THE DEGREE

The degree awarded by the University shall be called “DOCTOR OF PHILOSOPHY” in the “Faculty of Medicine / Dentistry/ Nursing / Allied Health and Basic Sciences”.

02. DISCIPLINES

Admission to Ph.D program will be made under the following faculty, covering a wide range of disciplines.

2.1 FACULTY OF MEDICINE

2.2 FACULTY OF DENTISTRY

2.3 FACULTY OF NURSING

2.4 FACULTY OF ALLIED HEALTH AND BASIC SCIENCES

All subjects coming under the scope of respective faculties or any other new faculty approved by the University will be included from time to time.

03. ELIGIBILITY FOR ADMISSIONS

Candidates with the following qualifications are eligible for enrolment for Ph.D program:

3.1 ELIGIBILITY CRITERIA FOR ADMISSION TO Ph.D. PROGRAM

- 3.1.1 Candidates who have obtained Masters Degree (M.D. or M.S. or DNB or P.G. Diploma or MDS or M.Sc. Dental / Medical / Nursing subjects or MPT) in the concerned / relevant subject under various specified faculties of Yenepoya University or a PG Degree from any other university considered, as equivalent by this University are eligible for enrollment for Ph.D program, as research scholars. Medical PG Diplomas for which candidates must possess recognised degree of MBBS with DA/DCP/DCM/DDVL/DFM/DHE/DHA/ DIHBT/DipMM/DMicro/DN/DGO/DOH/DO/

D.Ortho/DLO/DCH/D.Phy. Med R./DPM/ DPH/ DMRD/ DMRT/DRP/DSM/DTM & H./DTCD/D.Vir, etc.

- 3.1.2 Candidates for admission to the Ph.D. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 3.1.3 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the UGC, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.
- 3.1.4 Candidates having no clear grading / marks in their Post-Graduate examination shall be considered for the Ph.D program subject to approval from the Equivalence Committee.
- 3.1.5 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates who have cleared the M.Phil. course.

(Refer **GUIDELINES FOR ELIGIBILITY CRITERIA FOR ADMISSION (CLAUSE NO. 3)**)

04 DURATION OF RESEARCH WORK

- 4.1 Ph.D. programme shall be for a minimum period of three years including course work and a maximum of six years.
- 4.2 **Extension of Duration :**
 - 4.2.1 Extension of time up to a maximum of one year may be permitted by the University, provided, Research Advisory Committee and the Board of Post Graduate Teaching & Research are satisfied that there are sufficient reasons for not completing the work within the stipulated time.

- 4.2.2 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

05 PROCEDURE FOR ADMISSION

5.1.1 Application Procedure

- 5.1.1 Application for enrolment for the PhD program shall be made in the prescribed forms (Annexure 1 : **Format of the Application for the Ph.D. Program**), which shall be made available at the office of the Registrar /website, Yenepoya University, following a website/ newspaper notification of the same. The filled in application forms along with proposed area of work, routed through the proper channel, shall be submitted to the Registrar, Yenepoya University, on or before the last date prescribed in the notification on payment of the requisite fee.

- 5.1.2 The candidate shall furnish the following information along with the application for enrolment :

- (i) Name of the department and Institution where the candidate proposes to work and conduct research
- (ii) Proposed research work – work outline
- (iii) No objection certificate from the Head of Department and Head of Institution if employed.

- 5.1.3 Admission to Ph.D shall be subject to passing the entrance test conducted by the Yenepoya University. However, candidates who have qualified in the centrally conducted UGC-CSIR NET (including JRF/SLET/GATE/DBT/DST/DOE/AYUSH/teacher fellowship) exams are exempted from appearing for the entrance test. Candidates who have passed MPhil degree examination from any recognized University, with a minimum of 55% aggregate marks are also exempted from the entrance test.

5.2 Admission Procedure

Yenepoya University shall admit candidates by a two stage process through entrance test and interview :

- 5.2.1 The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance.
- 5.2.2 Candidates with a minimum of 50% will be considered as eligible for the interview. An interview/*viva-voce* shall be organized by the PhD Admission committee constituted by

the Yenepoya University (Annexure 4 : STRUCTURE OF THE Ph.D. ADMISSION COMMITTEE). For candidates who have not secured 50% in the entrance : in case of candidates belonging to general merit category, a minimum of 45% and in case of SC/ST/OBC category a minimum of 40% in the entrance exam is essential. However, the aggregate of both entrance exam marks and the interview marks cannot be less than 50% in these categories also.

- 5.2.3 The candidates are required to discuss their research interest/area through a presentation before a PhD Admission Committee, duly constituted by the Yenepoya University.
- 5.2.4 The interview/*viva voce* shall also consider the following aspects, viz. whether:
- a) the candidate possesses the competence for the proposed research
 - b) the research work can be suitably undertaken at the Institution/College
 - c) the proposed area of research can contribute to new/additional knowledge.
- 5.2.5 Applications, the results of the entrance exam, interview and the brief research proposals of the candidates will be placed before a Ph.D Admission Committee constituted by the University. The Committee will examine and review the research proposal and if the committee prima facie finds the applicant qualified and suitable, will make recommendations to the University for provisional registration.

06. ALLOCATION OF RESEARCH SUPERVISOR

- 6.1 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department based on the consensus of the whole department concerned, depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/*viva voce*.
- 6.2 In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.
- 6.3 **Eligibility criteria to become a research supervisor :**

All regular Professors, Associate Professors / Readers and Assistant Professors / Lecturers holding a Doctorate Degree (DM/ MCh / PhD) are eligible to be considered as Research Supervisors with the approval Board of studies subject to the following :

- 6.3.1 Any regular Professors in the Post graduate departments of the constituent colleges / units of the University with at least five original research publications as first / second / corresponding author in refereed journals and any regular Associate/ Assistant Professor in the Post graduate departments of the constituent colleges / units of the university with a Ph.D. degree and at least two original research publications as first / second / corresponding author in refereed journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- 6.3.2 Professors in the Post-Graduate departments of the constituent colleges / units of the University who do not have Doctoral degree, viz. DM / MCh / Ph.D, in the concerned/ related subject shall be deemed to be the recognized Research supervisors in their subjects provided they have at least 10 years of teaching experience after having acquired their Masters Degree of which 5 years shall be Post-graduate teaching in the relevant discipline. They also should have minimum five original research publications in indexed journals to his/her credit of which at least three as first / second / corresponding author, as evidence of active research involvement.
- 6.3.3 In any specialty, if a suitable research supervisor with adequate qualification fulfilling all the other criteria to become a Ph.D. research supervisor is not available a recognised research supervisor from the relevant / related subject of another faculty may be considered.
- 6.4 **Maximum number of scholars a Research Supervisor can supervise :**
- 6.4.1 A research supervisor / Co-supervisor who is a Professor, at any given point of time shall not guide more than eight Ph.D. scholars.
- 6.4.2 An Associate Professor as Research supervisor can guide up to a maximum of six Ph.D. scholars
- 6.4.3 An Assistant Professor as Research Supervisor can guide up to a maximum of four PhD scholars.
- 6.4.4 Whenever the number of candidates registered under a Research Supervisor has reached the maximum, a Research Supervisor becomes eligible to supervise another Ph.D. candidate only after the submission of the thesis by any one of the Ph.D. candidates already registered under him/ her or a vacancy arises due to discontinuation of any registered candidate.

- 6.4.5 A Research supervisor recognized by more than one University, he /she shall give an undertaking of the number of candidates working under his / her guidance.
- 6.4.6 Co-supervisor working in an institution other than the constituent unit of the University should fulfil the requirements to the satisfaction of the Yenepoya University regarding their expertise.
- 6.4.7 A Research supervisor shall not undertake to offer guidance for Ph.D. work to any of his/her first degree relatives.
- 6.4.8 No Research supervisor shall enrol candidates for the award of Ph.D. Degree unless he/she has at least two years of service before retirement on superannuation. A Research supervisor who has more than two years of service before retirement may enrol candidates and continue as Research supervisor even after retirement in respect of such candidates.
- 6.4.9 The allotment/ allocation of research supervisor shall not be left to the individual student or teacher.

6.5 Change of Research Supervisor:

- 6.5.1 Once registered for Ph.D. program, ordinarily no change of Research supervisor is allowed for any candidate. In exceptional cases, with no objection certificate from the Research supervisor and willingness from the other recognised research supervisor (of the same specialty) to Guide the candidate (both letters endorsed by the Head of the Institution), the University may consider the change of Research supervisor.
- 6.5.2 The Research Advisory committee may consider the request -
- (i) If the Scholar has completed two years of his research program and the Research supervisor is transferred to another area, the Co-supervisor can guide the scholar with the permission of the Research Advisory Committee.
 - (ii) If the Scholar is interested to move along with the Research supervisor in the event of the transfer of the Research Supervisor, the scholar will be permitted with the permission of the Research Advisory Committee.
 - (iii) In case the Research supervisor is leaving the Institution permanently or on deputation elsewhere or otherwise for a period of more than one year, the candidate will be allotted to the co-supervisor or a new research supervisor.
- 6.5.3 In case of relocation of Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to

relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give due credit to the parent Research Supervisor and the institution for the part of research already done.

07. Ph.D. COURSE WORK

Provisionally registered Ph.D. scholars shall be required to undertake course work for a minimum period of one semester at the University Campus.

- 7.1.1 The course work shall be treated as preparation for Ph.D. course work examination and include research methodology, biostatistics, research ethics, computer applications, review of published research in the relevant field, training, etc. The minimum qualifying requirement for allowing the Ph.D. student to proceed further with the research work shall be successful completion of the Ph.D. course work examination.
- 7.1.2 The course work shall have 16 credits consisting of 4 papers.
- 7.2 A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.
- 7.3 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.
- 7.4 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee of the research scholar.
- 7.5 All candidates admitted to the Ph.D. program shall be required to complete the course work prescribed by the Department during the initial one or two semesters.
- 7.6 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.

- 7.7 Evaluation of the Ph.D. course work will be done by conducting a Ph.D. course work examination by the University.
- 7.8 A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the thesis.
- 7.9 If found necessary course work may be carried out by doctoral candidates in sister departments/constituent units within the University for which due credit will be given to them.

08 RESEARCH ADVISORY COMMITTEE AND ITS FUNCTIONS:

There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned, for each Ph.D. scholar.

- 8.1 The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities:
- 8.1.1 To review the research proposal and finalize the topic of research;
- 8.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- 8.1.3 To periodically review and assist in the progress of the research work of the research scholar.
- 8.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Institution/College with a copy to the research scholar.
- 8.3 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.

09 EVALUATION AND ASSESSMENT METHODS, MINIMUM STANDARDS/ CREDITS FOR AWARD OF THE DEGREE, ETC.:

- 9.1 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-clauses above, the Ph.D. scholar shall be required to undertake research work and produce a draft thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.
- 9.2 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.
- 9.3 Ph.D. scholars must publish at least one original research paper in National or International, indexed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
- 9.4 The candidate will be eligible to submit the final summary on getting approval from the Research Advisory Committee.
- 9.5 Prior to submission of the final summary (synopsis) of the thesis, the student shall make a presentation in the Department that may be open to all the faculty members and research students for getting a feed back and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor.
- 9.6 All the Ph.D. scholars shall submit an application along with the final summary (six copies) containing the summary of the research work done, duly signed by the Research Supervisor and Co-Research Supervisor, to the Controller of Examinations at least three months before submission of final thesis.
- 9.7 “Certificate of Plagiarism check” of the final summary endorsed by the Research supervisor and research co-supervisor shall be submitted along with the final summary.
- 9.8 The Controller of Examinations, shall proceed with the constitution of panel of three Examiners (including Research Supervisor as internal examiner) from a panel of not less than ten experts submitted by the Research Supervisor, and approved by the Board of Examiners for the adjudication of the Ph.D. thesis.

- 9.9 The Controller of Examinations shall forward the summary to two appointed external examiners. Upon receipt of acceptance in writing by the external examiners the Controller of Examinations shall then send the thesis to them for evaluation.
- 9.10 The candidate shall submit seven copies of his/her thesis along with a soft copy (CD) three to six months after submission of the summary, i.e., not earlier than the prescribed minimum period (3 months) and not later than the prescribed maximum period (6 months), duly signed by the research Supervisor and Co-supervisor to the Controller of Examinations. In case the research scholar fails to submit the thesis within six months after the submission of final summary, extension may be provided with payment of a penal fee.
- 9.11 The final viva voce (defense) shall be conducted within six months from the date of submission of the thesis.
- 9.12 A candidate shall also submit along with his/her thesis-
- a) "No due certificates" from the Head of Department and Head of the Institution where he/she has worked, from the University Librarian and from the Finance Officer of the Yenepoya University.
 - b) Research papers published by him/her related to their research topic, during his / her course as Ph.D. scholar.
- 9.13 While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- 9.14 The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the Institution/ College, of whom one examiner shall be from outside the country. The *viva-voce* examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.
- 9.15 The public *viva-voce* of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for

conducting the *viva-voce* examination. If one of the evaluation reports of the external examiner in case of Ph.D. thesis, is unsatisfactory and does not recommend *viva-voce*, the Institution shall send the thesis to another external examiner out of the approved panel of examiners and the *viva-voce* examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

- 9.16 The Institutions shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

10 . DEPOSITORY WITH INFLIBNET:

- 10.1 Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the /Ph. D. Thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/ Colleges.
- 10.2 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of the UGC Regulations, 2016.

The University may frame guidelines for further clarity in the implementation of the regulations.

The PhD regulations outlined by other statutory bodies, such as MCI, DCI, INC, etc. may be considered and implemented time to time.

GUIDELINES

1. GUIDELINES FOR ELIGIBILITY CRITERIA FOR ADMISSION (CLAUSE NO. 3)

1. Teacher candidates, who fulfill the qualifications specified in the regulations and are (i) regular teaching faculty (ii) non teaching staff in a department / Constituent college of the Yenepoya University may be permitted to register himself / herself as a part time scholar for Ph.D degree under a Guide recognized by this University.
2. Part time candidates shall be required to work under the guide directly. A period of 90 days maybe availed by internal part time candidates during the prescribed period of research work for data collection, discussion, etc. This period shall be treated as study leave subject to approval of the University. This leave can be availed to a maximum of 30 days per year not exceeding ten days at a time. The Guide shall submit certificate of attendance of candidate (with dates) to the Registrar. In lieu of this study leave facility for the Ph.D program, candidates who avail this leave shall be required to give an bond to serve the institution for a period of 2 years after completion of their PhD.
3. Candidates possessing any one of the qualifications prescribed under the regulations from this University or from any other University recognized as equivalent thereto and employed as a teacher in National/State level Institutions recognised the statutory bodies or Universities in India, may be permitted to register for the Ph.D program on a part time basis provided, they fulfill the qualification stipulated and permission / No Objection Certificate is obtained from the respective organizations/ Institutions/ Universities for pursuing Ph.D Degree of this University while continuing in employment.

GUIDELINES FOR FULL / PART TIME CANDIDATES (CLAUSE NO. 4)

1. Full time Candidates

- a. A full time PhD scholar is the one who pursues research work, leading to Ph.D. degree as a full time student, with /without a fellowship/scholarship and without performing any other job or assignment. He/she shall attend the department for research on all working days, except for periods when he/she is on study leave. Full time students on deputation from other Institutions are required to confirm their status as a full time student by a relevant certificate from their employer or funding agency duly endorsed by the research guide, Head of the Department and Head of the Institution to the effect that they are not assigned any other job except doing research leading to Ph.D. degree.

- b. Candidates registered as full time researchers for the PhD program shall be available in the department throughout the program and the Head of the department shall maintain their attendance in the department.

2. Part time candidates

- a. A part time PhD candidate is one who is employed and pursues his/her research work leading to Ph.D. degree as a part time researcher in Institutes affiliated to Yenepoya University or recognised as research collaborator by Yenepoya University, in addition to his/her duties as an employee. Such Ph.D scholars are required to confirm their part time status by a relevant certificate and no objection from their employer which is endorsed by the research guide, Head of the Department and Head of the Institution to the effect that they are part time research scholars.
 - b. Candidates registered on part time basis should be in regular contact with the concerned research guides.
 - c. Candidates registered on part time basis and the study setting is not Yenepoya University campus or neighbouring areas should work at least for a minimum of 150 DAYS during the research period directly under the supervision of the Guide of this university. In addition to the Guide from this University, they shall have a Co-guide from the selected study setting recognised by the Yenepoya University. The Guides of the candidate shall submit the certificate of attendance (with dates) to the Registrar along with the half-yearly progress reports.
3. A candidate can shift over from full time to part time and vice versa within the University on approval from the Research Supervisor, Head of Institution and Board of Post Graduate Teaching and Research depending on the merit of the case, provided he/she fulfils the criteria laid down by the University and Obtains prior permission from the Registrar of the University.
 4. The Vice Chancellor may on the recommendation of the research Supervisor and Head of the Institution permit a PhD scholar to work elsewhere for six months at a time, if it is in the interest of the research work.
 5. The Head of the Institution on recommendation of the research guide may grant two weeks leave to a full time candidate during the calendar year.

GUIDELINES FOR Recognition of Institutions as Research Collaborators for Ph.D Program Clause No.

A candidate shall be permitted to pursue research activities for the degree of Doctor of Philosophy (Ph.D) in any one of the **Post Graduate Departments** of Institutions which are recognized as having necessary infrastructure and facilities for carrying out research in the subject of study concerned, provided that the department has a qualified Guide and the institute should have been recognised by this university as research collaborator after a peer visit by a team appointed by the Yenepoya University for this purpose.

The criteria for the institution seeking recognition as research collaborator by this University for the Ph.D program is as follows :

- a. Should preferably be a Centre with Academic excellence/ Institutes of National importance.
- b. Should have been in existence and actively functioning for at least 5 years and recognised by the statutory bodies.
- c. Should preferably, be a centre of research activity as evidenced by ongoing research projects funded / sponsored by any reputed funding agencies.
- d. Should have published research papers in peer reviewed scientific journals or indexed journals.
- e. Should have specifically equipped research laboratories with the requisite infrastructure and facilities for the proposed research project leading to Ph.D. degree.

The Department/Centre which proposes to take scholars for the Ph.D program at Yenepoya University should have at least one staff member with Post Graduate qualification in the concerned specialty with a total of 10 years teaching / research experience after his / her PG degree, out of which 5 years should be Post graduate teaching. The faculty should have minimum five scientific publications in indexed peer reviewed journals to his/her credit of which at least three as principal author or corresponding author, as evidence of active research involvement.

ANNEXURE I

FORMAT OF THE APPLICATION FOR THE Ph.D. PROGRAM



Recognized under Sec 3(A) of the 1956 vide Notification No. F. 9-11/2007-U.3 (A) dated 27 February 2008
Accredited by NAAC with 'A' Grade

Phone & Fax: +91 824 2203943, +91 824 2204668 Extn: 5003

Email: registrar@yenepoya.org, reachus@yenepoya.org

Website :www.yenepoya.edu.in

APPLICATION FOR ADMISSION TO Ph.D PROGRAM 2016-2017 (To be filled in by the Applicant in BLOCK letters)

Applications should be routed through the proper channel – Head of department, Head of Institution

[Incomplete application will be rejected]

Last date for submission of the completed

Application with necessary documents -----(date)

Paste passport
size
photograph

GENERAL INFORMATION:

- 1) Name :
- 2) Sex : Male/ Female
- 3) Date of Birth : Day_____Month _____Year_____
- 4) Place of Birth Place :
State :
Country :
- 5) Religion :
- 6) Category (include certificate) : General / SC / ST / OBC/ Physically
Handicapped
- 7) Marital Status : Married / Single
- 8) Mother Tongue :
- 9) Nationality (*Country of Origin*) :

Passport No:

Valid Until:

Issued by:

10) If Indian Citizen, whether NRI :
11) Father's Name :
 Mother's Name :
 Guardian's Name (only if Father is deceased):
 Relationship :

12) Profession of Father/ Guardian :

13) Official Address :

 Pin :

 Fax :

 Phone :

 Email :

Permanent Address :

 Pin :

 Fax :

 Phone :

 Email :

14) Name and Address of Local Guardian if any :

 Pin :

 Phone :

 Email :

15) Blood Group :

16) Identification mark :

17) References: (At least two person holding responsible positions and not related to the Applicant)

- I) Name :
Address :
Phone :
Email :
Fax :
- II) Name :
Address :
Phone :
Email :
Fax :
-

I. A. Details of academic qualifications :

	Specialty	Year of Joining	Year of Passing	Institution Studied	University	Percentage Marks Obtained
Graduate Degree						
Postgraduate Degree						
Any other Additional Qualification						

(Please attach attested copies of statement of marks in support of the above details)

B. Name and Address of the Institution

I. Currently employed

II. Last studied

II. DECLARATION BY THE APPLICANT

I wish to apply for admission to the PhD Program of the Yenepoya University, Mangalore, and I declare that to the best of my knowledge and belief, the above particulars are true. I agree that the admission is at the sole discretion of the management.

I further agree, if admitted, to conform to the rules and regulations at present in force or that may thereafter be made for the administration of the College and Hostel. I undertake, so long as I am a Student of the University, I will not do anything unworthy of a student or anything that will interfere with the orderly working and discipline.

Place : _____

Date : _____

Applicant's Signature

(Kindly submit the completed application with all the necessary documents as given in the check list)

CHECKLIST OF DOCUMENTS TO BE SUBMITTED

Applicant + Registration fee Rs. 1000/- (Non- Refundable) DD/ Present Check

(DD in favor of Yenepoya University, payable at Mangalore) :

DD Details :

1 recent passport size photo to be pasted + 2 copies attached : Yes/ No

Attested copy of Graduate degree certificate and marks cards : Yes/ No

Attested copy of Postgraduate degree certificate and marks cards : Yes/ No

Research proposal submitted (in triplicate) in prescribed format : Yes/ No

One Soft copy (CD labelled) of the Research proposal : Yes/ No

No-Objection certificate from present employer : Yes/ No

Application is forwarded by Head of Department : Yes/ No

Application is forwarded by Head of Institution : Yes/ No

Entrance Examination

Date :
Duration : 3 hours
Type of Questions : questions with multiple choice answers.
Number of questions : 100
Total Marks : 100
Research Methodology : 50
Subject : 50

FOR OFFICE USE ONLY

Application completed is received on:

Checked by :

Registration No :

Receipt No :

ACKNOWLEDGEMENT SLIP

(Please bring this slip with you when you are called for interview)

Received the completed application for admission to the PhD Program, at Yenepoya University,
for the academic year ----- - ----- from Dr./ Mr. /
Ms. _____

Allotted registration no.

Registrar
Yenepoya University

FORMAT FOR THE WORK PROPOSAL FOR Ph.D PROGRAM

(TO BE SUBMITTED ALONG WITH THE COMPLETED APPLICATION IN TRIPLICATE
WITH A SOFT COPY ON A LABELLED CD)

Name of the Applicant :

Official Address :

Permanent Address :

Subject in which the work is propose :

Title of the Proposal :

Introduction (Present the literature survey and the significance of the problem in simple terms leading to the Aim and objectives of the proposed work)

Proposed Aim :

Proposed Objectives :

Social relevance of the work :

Methods (Outline the experiments that you will use to test your hypotheses):

Proposed timeline (Summarize your work plan and milestones for completion of your project):

Clearance certificates, Health & Safety Requirements (list the permits **that are required** for the study, e.g. human ethics, Animal Ethics, Biosafety permission, Validation of tools if any, etc.)

NO SAMPLE COLLECTION / DATA COLLECTION CAN BE DONE TILL THE START OF THE PROJECT.

PROPOBABLE REQUIREMENTS:

- Equipment needed
- Consumables needed
- Travel
- Animal Housing, Glasshouse Space needed
- Field Station Facilities
- Budget estimate

Signature of the Student :

Date :